

Long Term Care Covid-19 Commission Mtg.

Dr. Penny Sutcliffe, Medical Officer of Health,
Sudbury & District Public Health
on Monday, October 19, 2020



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1 MEETING OF THE LONG-TERM CARE COVID-19 COMMISSION

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6 --- Held Virtually via Zoom, with all participants
7 attending remotely, on the 19th day of October, 2020,
8 9:00 a.m. to 9:57 a.m.

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12 BEFORE:

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14 The Honourable Frank N. Marrocco, Lead Commissioner
15 Angela Coke, Commissioner
16 Dr. Jack Kitts, Commissioner

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18
19 PRESENTING:

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21 Dr. Penny Sutcliffe, CEO and Medical Officer of
22 Health, Public Health Sudbury & Districts.

23
24 Stacey Laforest, Director of Health Protection,
25 Public Health Sudbury & Districts.

1 PARTICIPANTS:

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3 Jessica Franklin, Policy Lead, Ministry of
4 Long-Term Care

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6 Alison Drummond, Assistant Deputy Minister,
7 Long-Term Care Commission Secretariat

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9 Derek Lett, Policy Director, Long-Term Care
10 Commission Secretariat

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12 John Callaghan, Lead Counsel, Long-Term Care
13 Commission Secretariat

14

15 Dawn Palin Rokosh, Director Of Operations at
16 Ontario's Long Term Care Commission Secretariat

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20 ALSO PRESENT:

21

22 Judith M. Caputo, Stenographer/Transcriptionist

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1 -- Upon commencing at 9:00 a.m.

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3 COMMISSIONER MARROCCO: Well, then
4 we'll get started. We're trying to understand what
5 worked and what didn't as part of our lookback.

6 And at the same time, we are in the
7 process of preparing something, of a more informal
8 nature, to send to the Minister dealing with the
9 more immediate problems that we're facing right
10 now.

11 So that's what's been interesting for
12 us, and we would be really interested in really
13 anything that you, however you want to organize it,
14 but we'd be interested in successes and what worked
15 and what didn't from your perspective would be very
16 helpful to us.

17 We have a court reporter, whom I think
18 you may have met, and we do have a transcript and
19 we do put the transcript on the website.

20 We tend to interrupt with questions, if
21 that's okay, as we go along rather than trying to
22 go back. So I think, Doctor, we're ready when you
23 are.

24 DR. SUTCLIFFE: Thank you very much.
25 And I have alerted that I have a few slides, would

1 it be all right if I share my screen to use those
2 to help organize our comments?

3 COMMISSIONER MARROCCO: Absolutely.
4 That will be fine.

5 DR. SUTCLIFFE: Thank you.

6 COMMISSIONER MARROCCO: We have it now
7 I think.

8 DR. SUTCLIFFE: Terrific. Okay.

9 We really want to thank you so much for
10 this opportunity and really pleased that the
11 Commission has been established, that you're doing
12 this work.

13 I am aware that you have consulted with
14 lots of people, including a number of our colleague
15 Medical Officers of Health from across the
16 Province. So I certainly hope that our
17 perspectives from the north are helpful to you in
18 supplementing the information you have already
19 heard about.

20 So I'm the Medical Officer of Health
21 and CEO of Public Health Sudbury and Districts, and
22 I've been in this role for 20 years now. Prior to
23 that I worked in Yellowknife in the Northwest
24 Territories and in Northern Manitoba after training
25 in Toronto. So it's nice to have a northern

1 perspective on these issues.

2 And Stacey Laforest -- perhaps I'll
3 just ask Stacey to introduce yourself.

4 MS. LAFOREST: Thank you very much.

5 So my name is Stacey Laforest and I'm
6 the Director of Health Protection here at Public
7 Health Sudbury and Districts. I've been in this
8 current role since 2013, and my background is in
9 Public Health inspection, and I've worked in the
10 field since 2001.

11 DR. SUTCLIFFE: Thank you.

12 So a few slides, and there are only
13 about five or six to go through. The last two I'd
14 like to spend the most time on, but thought it
15 might be helpful to have a bit of context in terms
16 of where these comments come from.

17 So I have had an opportunity to discuss
18 this opportunity with my colleague in Northern
19 Medical Officers of Health, so there are seven of
20 us that work as Medical Officers of Health and
21 CEOs, including an additional eighth person who
22 works with the First Nations Health Authority,
23 Dr. Guilfoyle.

24 We have also conducted a brief
25 evaluation, a community survey, and some of those

1 comments from long-term care partners are
2 incorporated here. And lots of experience and
3 reflections from our Public Health Sudbury and
4 Districts team.

5 So our team, we're just under 300
6 staff. We are an autonomous board of health which
7 of course, as you know, is the majority of the
8 kinds of boards in Ontario. We have 19
9 municipalities in our catchment area, and it is a
10 combination of rural and urban mix, with the city
11 of greater Sudbury being about 85 percent of our
12 population, with an additional 18 or so
13 municipalities.

14 And, of course, the Board is
15 established by the Health Protection and Promotion
16 Act and our operations, of course, are driven by
17 the Ontario Public Health Standards requirements.

18 I won't go into this in much detail,
19 and I have had a chance to look at the transcripts
20 from other consultations with Medical Officers of
21 Health. So I believe that you have lots of
22 information about the routine work in the long-term
23 care homes.

24 We have 12 in our area. And during
25 normal times, so non-COVID times, the list that you

1 will see on this slide describes our regular work.
2 So routine annual inspections for both
3 reinforcement of the requirements, as well as for
4 reinforcing relationships and communication.
5 Involvement with long-term care homes with regards
6 to food premises and food safety. Certainly, as it
7 relates to infection control, and their regular
8 meetings. If there are outbreaks, we support the
9 long-term care homes knowing it's their ultimate
10 responsibility to manage those outbreaks with the
11 use of the provincial protocol.

12 We have about 11 Public Health
13 inspectors and six Public Health nurses that
14 contribute to this work. So they have other
15 duties, and they all fall within Stacey Laforest's
16 direction in her division.

17 We'll just also say we have a
18 longstanding infectious disease planning and
19 response committee, or IDPRC, which was
20 established, I believe in 2006, thinking about
21 pandemic and pandemic planning. And this involves
22 a number of stakeholders, including long-term care
23 representatives, so that we are coordinated and
24 thinking about how we would respond to a pandemic.

25 So that gives us a brief overview, if

1 it's all right, Justice, I'll continue to the next
2 slide.

3 COMMISSIONER MARROCCO: Sure, go ahead.
4 Oh, Mr. Kitts has a question.

5 COMMISSIONER KITTS: Yes, just before
6 you do. Can you give us an idea of what other
7 health partners are in the same region, in terms of
8 community health centres, hospitals, that sort of
9 support?

10 DR. SUTCLIFFE: Yes. So the main hub,
11 healthcare hub is in the City of Greater Sudbury,
12 there's no doubt about that. But there are
13 regional hospitals throughout the district as far
14 as Chapleau, Manitoulin Island, community health
15 centres, including Aboriginal Health Access
16 Centres, as well as the Francophone Community
17 Health Centre.

18 Primary care, not, you know, sort of
19 well organized. Whether they organize themselves,
20 but in terms of not well organized as a collective,
21 I would say in primary care. But many primary care
22 practitioners in our area, certainly would be onset
23 of the Northern Ontario School of Medicine,
24 challenges around recruitment and retention, I
25 think, are being addressed compared to previous

1 years.

2 COMMISSIONER KITTS: So when you say
3 since 2006 you've had a pandemic, or I guess
4 epidemic -- whatever it is -- response for
5 communicable diseases in your area, are these all
6 included in that relationship, and are the
7 relationships solid?

8 DR. SUTCLIFFE: Yes. So the
9 relationships for sure vary over time, as the
10 people vary over time. But that has been a really
11 key planning body, that was actually started by
12 Dr. Vera Etches when she was here as our Associate
13 Medical Officer of Health, called the "Pandemic
14 Clinical Care Committee", at that point in time,
15 bringing together multiple stakeholders.

16 And so this has stood the test of time,
17 and people vote with their feet, and so they've
18 been voting to stay. And, of course, we ramped
19 that up by the work of this committee, actually
20 weekly meetings in January, as we saw what could
21 potentially be coming. And we made sure that we
22 were prepared, had, you know, appropriate
23 communication linkages, and that we were all
24 dusting off or revisiting our respective protocols
25 in each of the different sectors.

1 COMMISSIONER KITTS: One last question.
2 Are you the chair of that group?

3 DR. SUTCLIFFE: Well, it has been our
4 Associate Medical Officer of Health, and we no
5 longer have an associate as of March of this year.

6 So in fact, it's either me or our
7 director Stacey Laforest who is on the line with us
8 today.

9 We do co-chair that with Health
10 Sciences North, we'd be remiss not to note that.
11 We are the secretariat and the lead, however, the
12 actual chairing is co-chair with us in the acute
13 care sector.

14 COMMISSIONER MARROCCO: Doctor, before
15 you continue. You said you kind of started to pay
16 attention in January to what might be happening.

17 Can you give us, or give me anyway, a
18 bit of a sense of what you started to do? How you
19 started to react to this problem that you saw on
20 the horizon?

21 DR. SUTCLIFFE: Thank you for that
22 question.

23 So certainly, we were alerted by news
24 media coverage. But, also, quite significantly by
25 communication from Dr. Barbara Yaffe in January,

1 towards the end of January.

2 So starting to alert all of us to be
3 paying attention and to getting ourselves ready and
4 prepared. So as a consequence of that, one of the
5 concrete things we did, we have a newsletter that
6 is produced for clinicians, and also an alert that
7 is faxed out or e-mailed out to clinicians called
8 our "advisory alert."

9 So on the 24th of January, we issued an
10 advisory alert to -- this is a broad range of
11 stakeholders. So long-term care homes for sure
12 included in that, primary care, pharmacies, acute
13 care, probably more people than want to get our
14 alerts. We cover off as much as possible.

15 So this was alerting people to our
16 clinicians, to what we were hearing and sharing
17 Dr. Yaffe's communication with us. And then we
18 began at that point to have these weekly
19 infections, disease planning and response committee
20 meetings to talk about preparedness.

21 We had a brief scenario that we asked
22 people to review, so to try to make it real. You
23 know, person X presents, they visited somebody who
24 was at Wuhan in China, etcetera, to try to make it
25 real, so that we could up the energy, if you will.

1 Because people are busy, they're not sitting around
2 waiting for something to do. So to try to get
3 everybody's attention so we could really start
4 planning and preparing for this.

5 COMMISSIONER MARROCCO: Okay. Thanks.

6 Dr. Yaffe's communication, was it in
7 writing or was it --

8 DR. SUTCLIFFE: Yes, it was in writing.
9 And certainly, as Medical Officers of Health we
10 receive that. I don't have it in front of me, so I
11 don't recall if it was sent to other system
12 stakeholders.

13 However, we then actioned that
14 ourselves, in addition to her sending it to us, and
15 perhaps other stakeholders at that time.

16 COMMISSIONER MARROCCO: Thank you.

17 DR. SUTCLIFFE: Again, by way of
18 context on this slide, because the Province is big
19 and varied as you know, and we all have had
20 different experiences of COVID-19.

21 So I'll give you a bit of a sense, this
22 is our MP curve in our area as of last week. We
23 have had a total of 112 cases. And when I say this
24 to family members who live down south, they wonder
25 what we're so busy with, but we have been very busy

1 with these 112 cases of COVID-19.

2 This has included 10 outbreaks in 9
3 long-term care homes, and as a reminder, we have 12
4 in total. And across the north, there have been as
5 of last week, 25 total outbreaks. So across all
6 7 northern health units in Northern Ontario.

7 Our 10 outbreaks included 19 cases;
8 you'll see the breakdown of 6 resident and 13
9 staff cases. Sadly, that also concluded 1 death,
10 in fact in the first outbreak that was declared.
11 All of our outbreaks have been declared over; so
12 throughout May, June and August.

13 Interestingly, the majority of our
14 outbreaks were associated with asymptomatic
15 positives. So individuals that were picked up on
16 surveillance, only two of our outbreaks were
17 associated with symptomatic individuals.

18 Also, by way of context, during that
19 time period, so January, mid-January to the end of
20 May, our case rate was 34 per 100,000 compared with
21 190 for Ontario as a whole.

22 So we were relatively less -- I
23 wouldn't say unaffected, but less affected by
24 COVID, both with regards to outbreaks and the
25 nature of those outbreaks, and the community

1 evidence of community transmission.

2 COMMISSIONER MARROCCO: Doctor, you
3 said the majority were asymptomatic positive. And
4 this information flowed from surveillance?

5 DR. SUTCLIFFE: That's correct.

6 COMMISSIONER MARROCCO: What does that
7 surveillance look like?

8 DR. SUTCLIFFE: So this is the
9 provincial surveillance as led by -- at this point,
10 by Ontario Health. Where currently the testing is
11 every two weeks of the staff, so that's what that
12 was about.

13 Our protocols would be different today
14 with regards to the near automatic declaration of
15 an outbreak in an asymptomatic positive. So our
16 protocols have evolved and developed over that
17 time.

18 I don't know that we would be seeing so
19 many outbreaks declared based on asymptomatic
20 surveillance, because those protocols have changed.
21 But at that point in time, that's what we did, with
22 a really heightened vigilance and level of caution.

23 COMMISSIONER MARROCCO: The changes in
24 the protocols, for the better, for the worse?

25 DR. SUTCLIFFE: Well, changes in the

1 protocols based on evolving science and
2 information. And so practically, for the better, I
3 would say.

4 So we take everything very seriously,
5 but it's not that we have to institute really
6 rigorous control measures, which were difficult on
7 social, emotional, physical health, because we have
8 an asymptomatic positive now. So there's more
9 thoughtfulness, frankly, that we can put into it
10 now with the evolution of the science in this area.

11 COMMISSIONER MARROCCO: Okay, thank you.

12 COMMISSIONER KITTS: Dr. Sutcliffe,
13 when you received the notice from Dr. Yaffe in
14 January 24th, did Public Health or anyone else do
15 more than the usual, I guess the usual monitoring
16 and surveillance of the long-term care homes
17 knowing that they might be a hotspot?

18 You said you have 12 homes, 11
19 inspectors, I think. So did you ramp up and what
20 did you ramp up with?

21 DR. SUTCLIFFE: So I might also turn to
22 Stacey for the details of this. What I can tell
23 you is we ramped up our communication with them,
24 our expectations for their preparedness, unlike
25 Kingston. So I'm aware of what was done in KFLA.

1 We did not ramp up training, or inspections, per
2 se.

3 I think at that point in time it really
4 was a question of overall system readiness, overall
5 making sure that people had the information they
6 needed, and it's hard to go back in time and
7 remember how little we knew at that point in time.
8 Because the information that they needed, the lines
9 of communication were established, and no errors in
10 that and that there was a sense of readiness.

11 If it's all right with you, I wouldn't
12 mind asking if Stacey has anything to add to that.

13 MS. LAFOREST: Yes, thank you very
14 much.

15 So certainly enhanced communication and
16 supports, absolutely. And also an expectation that
17 facilities report daily to us any symptomatic staff
18 or residents. And then for our review and
19 discussion in regards to application of Directive
20 No. 3 as well. So I would say enhanced monitoring
21 and surveillance as well.

22 COMMISSIONER KITTS: Okay, thank you.

23 DR. SUTCLIFFE: Thank you.

24 This slide is quite busy, and I
25 actually would like to spend more times on the next

1 two slides than I have on this one. But we were
2 sharing some questions ahead of time, I wanted to
3 make sure we provided you with the information that
4 hopefully will be helpful to you. And that was
5 kind of what was our response, what was the impact,
6 and the relationship or engagement with the
7 province.

8 So there are many points on here, but
9 our response -- I mean, overall, I would say Public
10 Health has been and is the fulcrum of outbreak
11 preparedness and response. That we are the go-to,
12 not only for long-term care homes, but certainly
13 long-term care homes around support for outbreaks,
14 communication, understanding and interpreting the
15 latest directions, etcetera.

16 And so the specific responses,
17 certainly we have designated staff to homes to make
18 sure those individuals were maintained and those
19 lines of communication were excellent.

20 We increased our own capacity to make
21 sure that we had management leadership, you know,
22 availability through 24-7 phone line access. And
23 in specific in talking to long-term care home to
24 make sure we can focus on their needs.

25 We really have been a knowledge broker,

1 putting all the information that we could on our
2 website, so that was easily accessible to our many
3 partners.

4 And we have also acted, as Public
5 Health often does, as a bridge or liaison between
6 different parts of the system. So especially early
7 on, thinking about primary care, hospitals, IPAC
8 resources, etcetera, to make sure that we could
9 help to bring different parts of the system
10 together.

11 We provided assistance initially with
12 the surveillance testing of staff, and the risk
13 categorization of long-term care homes that then
14 transferred more to our Terra Health colleagues,
15 and supported the screening of policies and
16 procedures.

17 We, as Stacey mentioned, have
18 instituted the daily monitoring of all the staff
19 and residents for any symptoms. So we have,
20 actually, a pretty rigorous process of reporting
21 symptomatics to us, so that we can review that with
22 the long-term care homes.

23 And certainly, if there were outbreaks
24 declared, then to provide the assistance and
25 support, the daily outbreak management team

1 support.

2 And the last point here that I'd like
3 to come back to in the next couple of slides, if
4 that's all right, is about the new Ontario Health
5 North, so the Regional Ontario Health Outbreak
6 Prevention and Response Committee.

7 So that was a new structure that was
8 implemented through the course of all of this, and
9 I think there are benefits to that, and also
10 challenges with that, which I would like to return
11 to shortly.

12 Our overall impact, I think so far is
13 really increased confidence and readiness in our
14 long-term care homes. And I would say overall
15 better coordination of the system. Nothing like a
16 pandemic to focus mind, and make sure that we are
17 coordinated and working together as a system. So
18 those have been positive.

19 Lots of community concern and anxiety
20 about long-term care home residents. We had the
21 benefit, or the challenge of seeing what was
22 happening elsewhere, and so that increased our
23 vigilance here, and our anxiety and concern for
24 these vulnerable residents.

25 Long-term care homes certainly took the

1 opportunity to re-examine their own contingency and
2 pandemic plans; ramping up their communication and
3 their own focus on their own internal policies and
4 procedures.

5 Challenges with regards to staffing,
6 which I'll return to on the next slide, if that's
7 all right. But long-term care homes trying to
8 increase their compliments, and making sure they
9 were following the direction about just working on
10 one site, etcetera.

11 Lots of concerns around the
12 psychosocial health with the restrictions that were
13 imposed; that's not a surprise. And I think we've
14 had really good engagement with our political
15 leaders of concern, wanting to know what's being
16 done and what protective measures were being taken
17 in the homes.

18 And the final piece on this is with
19 regards to our relationships with the Chief Medical
20 Officer of Health and with the Province. I would
21 say, you know, I had the pleasure of working in the
22 Public Health system during SARS as the Medical
23 Officer of Health here, and also went to support
24 our colleagues in Toronto during SARS. And it's
25 night and day with regards to organization, with

1 regards to communication. So certainly the daily
2 sit reports from the EOC, and being really
3 responsive to our questions, their protocols have
4 been very helpful. Twice weekly now, coordination
5 calls with the Office of the Chief Medical Officer
6 of Health and the Council of Ontario Medical
7 Officers of Health, so all of the medical officers
8 and associate medical officers have a list for
9 e-mail communication, and that's been a really good
10 way to share what's been happening and how we can
11 support each other on various issues.

12 Directive No. 3, and the policies have
13 been very helpful, and certainly have helped
14 Ontario, the evidence briefs and the laboratory
15 expertise have all certainly contributed to our
16 ability to manage, and understand, and communicate
17 what's been happening.

18 Would it be okay to proceed to the next
19 slide?

20 COMMISSIONER MARROCCO: Yes, sure
21 that's fine.

22 DR. SUTCLIFFE: Thank you.

23 This slide is on "lessons learned" and
24 then my last slide is on "recommendations". And I
25 was hoping that this would be helpful to you based

1 on our northern perspective and experience.

2 The first lesson learned, I would say
3 it's been actually very good to be last in this
4 instance. So I do think that the north benefited
5 from the later introduction of the virus into our
6 area. We were able to forecast and learn from
7 other jurisdictions and really, you know,
8 heightened our adrenalin and our vigilance. We
9 could see what was happening elsewhere.

10 So because the provincial policies were
11 across the Province, not regional, we were able to
12 benefit, I think net greater than other areas,
13 because the policies came in earlier in our
14 pandemic curve. And because of our heightened
15 vigilance, our concern, our experience of what we
16 could see happening elsewhere, as well as the
17 respective policies being introduced at an earlier
18 point, we had greater benefit from those.

19 Certainly, I don't believe our own circumstances
20 would have triggered the long-term care policies in
21 terms of what we were experiencing locally, but we
22 could benefit from them.

23 So the "so what" of that, I think is
24 that to prevent does require early intervention.
25 The challenge, of course, is that there are

1 downsides to those interventions with regards to
2 the unintended consequences that the restrictions,
3 etcetera, have imposed.

4 The second point about lessons learned,
5 I believe, is that relationships are really
6 critical. We have, you know, under Stacey's
7 leadership and her team, really excellent and
8 longstanding relationships with long-term care
9 homes, which meant that they were quick to call us.
10 We knew what was going on, we knew how the
11 facilities were managing and how they have
12 historically managed issues. So that was excellent.

13 And so I do think the "so what" of that
14 is that in times of crisis, make sure that you
15 build on what already exists. And that's been
16 really effective for us in the north, not just our
17 area, but areas across the north.

18 COMMISSIONER MARROCCO: Can I stop you
19 for a minute there, Doctor?

20 Were there already established -- in
21 terms of building on what already exists -- were
22 there already established relationships between
23 hospitals and long-term care facilities, you know,
24 a connection between the expertise and places that
25 might need it?

1 DR. SUTCLIFFE: You know, Justice, I
2 don't know that I can answer that fully. I don't
3 know if Stacey, you might have comment on that in
4 terms of the sharing of information and the
5 transfer; and perhaps you're referring to IPAC and
6 perhaps others?

7 COMMISSIONER MARROCCO: Yes, as a --
8 you were saying, you know, you have to build on
9 what already exists.

10 So I was just trying to get a sense of
11 whether these relationships existed, because you
12 would think there's expertise at the hospitals that
13 would be useful.

14 DR. SUTCLIFFE: I don't know if,
15 Stacey, you might be able to further comment on
16 that?

17 MS. LAFOREST: Yes. So I'm also not
18 aware. But what I do know is the longstanding
19 relationships that we've had here at Public Health,
20 and other colleagues across the north at long-term
21 care facilities, and really trying to maintain a
22 common point of contact over time with our staff
23 here, and the appropriate individuals at the
24 facilities. So that we have a full understanding
25 of, perhaps, the areas of need, and areas of

1 strength for the respective facilities. And also a
2 history in regards to outbreak management at those
3 individuals sites, as well, and so that they know
4 who to reach out directly in order to seek
5 clarification and information.

6 COMMISSIONER MARROCCO: Okay.

7 COMMISSIONER KITTS: In an earlier
8 slide, I think you said that Public Health
9 sometimes plays the broker facilitator role; did I
10 see that on a slide?

11 DR. SUTCLIFFE: Yes.

12 COMMISSIONER KITTS: So I wonder if
13 that's the Public Health, I think you're saying,
14 had a very good relationship with the long-term
15 care homes.

16 And if that's correct, perhaps you've
17 also played the broker between the rest of the
18 system that needed to come in to help during a
19 crisis; is that fair?

20 DR. SUTCLIFFE: Absolutely. And I
21 think what perhaps Stacey and I are referring to in
22 response to the Justice's question is, I don't know
23 that we can comment on pre-COVID, the relationship
24 between, or the strength of those ties, or the
25 interconnections between the long-term care home

1 and Health Sciences North or other hospitals.

2 We're not aware of there being
3 challenges certainly but I'm not sure where their
4 points of intersection are. Often pre-COVID, where
5 we would intersect would be planned districts,
6 hospitals to long-term care home, and helping to
7 navigate the players in those instances. But in
8 terms of hospital support to long-term care homes
9 or relationships there, I don't know that we can
10 comment on that.

11 COMMISSIONER KITTS: Thank you.

12 DR. SUTCLIFFE: The third lesson I
13 would say for us has been, you know, that we need
14 to be prepared to drink from a firehose and for a
15 long period of time.

16 So in a crisis, as we know, there is
17 just a rapid rate of new information and data that
18 is shared. And that, of course, has to be learned,
19 has to be interpreted, communicated, and Public
20 Health has a real role in the interpretation and
21 actioning of a lot of that information. Helping
22 our community understand what it means, and the
23 implications for their own actions, in this
24 instance, to prevent the spread of COVID.

25 So all players need to plan for

1 sustainability. So I think the "so what" of that
2 is that it's really critical pre-emergency to
3 develop and reinforce business continuity plans,
4 surge and redundancy contingencies, really have
5 effective handover and transition processes,
6 because no one person can do it all the time and we
7 need to build in those redundancies and handovers.

8 And also I think very importantly is
9 cultures of self-care. That our healthcare
10 workers, our social service workers, everybody is
11 working incredibly long hours to respond to the
12 needs of our community. And, of course, obviously
13 to be there for others, we need to be there for
14 ourselves.

15 So the lesson learned there is that
16 there's lots and lots of information, and lots and
17 lots of actions and responsibilities. And we have
18 to plan for that, think about what we can do to
19 mitigate the challenges of that, and make sure
20 ultimately that we're looking after ourselves, so
21 we can look after others.

22 COMMISSIONER MARROCCO: How do you
23 think you achieve in pre-emergency times the
24 development that you're describing there?

25 DR. SUTCLIFFE: Well, I think that that

1 is extremely difficult. Because, again, it's not
2 that we are sitting around waiting for, you know,
3 work to happen or building up. But one of the
4 things that we have done, and that we've encouraged
5 with our partners, of course, is the planning,
6 thinking about continuity, business continuity. So
7 that can be done, your business continuity, "what
8 would you do if..."

9 The surge and redundancy is difficult.
10 Difficult certainly in times of scarcity, which is
11 the public sector, to build for the surge and
12 redundancy. But I do think there are human
13 resource practices that can be put in place that
14 will help for with that. There is cross-training
15 that can occur to help with that. We've certainly
16 done that within our own organization, to make sure
17 that our staff are cross-trained so they can help
18 in an instance of an emergency.

19 Just prior to COVID, we were actually
20 dealing again in Stacey's area, with a Hepatitis A
21 outbreak. So we were already, pre-Christmas over
22 the holiday period and post-Christmas, quite maxed
23 with the community response that was required of us
24 related to Hepatitis A.

25 It's really important to know that

1 sometimes bad things would only happen once, but
2 there are multiple things that happen at the same
3 time. So plan as much as we can for
4 cross-training, for recognition of what people need
5 to do to look after themselves.

6 We also have in the north, formal,
7 actually, memorandums of understanding to cross --
8 to help each other across the north within Public
9 Health. And so this, of course, is not helpful
10 when we're all experiencing the same thing, we're
11 all in the pandemic.

12 But if one of our agencies was
13 experiencing big challenges that had impact on
14 staffing, and our ability to respond, that we could
15 call on the others to help. So that's something
16 that could be done in non-emergency times to help
17 with that. In our sector and I'm sure in other
18 sectors, too.

19 The other point, number four, is
20 looking at: Are the right people doing the right
21 things? So in this instance, it's getting somebody
22 else to look after the logistics. So our focus is
23 on surveillance, infection, prevention and control
24 and the outbreak management.

25 And there are certainly enabling

1 logistics that are not insignificant, that are very
2 important; but they are enabling logistics. For
3 example, the PPE, access to testing, etcetera. And
4 so for Public Health to be responsible for the core
5 pieces related to outbreak prevention, outbreak
6 management, as well as needing to respond to the
7 really real concerns about access to testing and
8 access to PPE, was distracting, I guess, at best
9 and quite difficult.

10 So ultimately, Ontario Health took on
11 core responsibilities as it related to those
12 logistics. So I think the "so what" of that is in
13 making sure that we have the right people, doing
14 the right thing, and that appropriate delegation
15 needs to occur. So that was very helpful when that
16 happened, but initially it was all on Public
17 Health, I would say, and not manageable. So that
18 was an effective change, ultimately.

19 We also noted that for sure, risk
20 assessment and risk tolerance varies, you know,
21 really widely in our communities, and our province,
22 probably in our own homes. And that responses
23 really in the long-term care homes ranged from, you
24 know, poor compliance initially of long-term care
25 staff who, you know, didn't -- perhaps didn't

1 appreciate yet the significance of the virus, and
2 the significance of the potential impact in
3 long-term care homes. So challenges with
4 compliance to hypervigilance. So range from that
5 to, you know, long-term care homes, perhaps being
6 really fear-based and increasing the frequency of
7 their testing, or the staff exclusions, etcetera.

8 And so our lesson from that is to think
9 about anticipating that variability in risk
10 tolerance, and to respond effectively to deal with
11 that. So by ways of, for example, providing real
12 life examples, addressing the underlying emotions
13 that are behind that, and to talk about realistic
14 consequences.

15 So not good enough just to tell people
16 what they should be doing, but to really get at
17 underlying rationale, underlying barriers, perhaps
18 taking on those behaviors, and so that we have a
19 risk assessment that really is commensurate with
20 the risk that we're facing.

21 Finally, just on communication, that we
22 can't get enough information out, you know, to
23 enough people ever in a crisis, and really
24 difficult to satisfy the communication needs, as
25 well as with an ongoing crisis, how easy it is to

1 get mixed messages, we've all heard of
2 misinformation in social media.

3 So to try to make sure that there are
4 credible sources, that Public Health is one of
5 those reliable sources, either as a knowledge
6 broker, a source of information, or pointing to the
7 appropriate sources of information.

8 And across the north, all seven Medical
9 Officers of Health, we very quickly established
10 weekly teleconferences, so these are the Public
11 Health leaders in our communities and our health
12 units, to make sure that -- you know, there's no
13 cookbook. So as we were navigating the new
14 information in how to implement that locally in our
15 northern context, that we were doing that with some
16 consistency and communicating in a way that would
17 be coherent to our communities in the north.

18 We don't have that many media outlets
19 in the north. So, for example, our local CBC in
20 the morning covers all of Northeastern Ontario and
21 in the afternoon, covers all of Northern Ontario.
22 But really important is that we're communicating
23 consistently.

24 I'll go on to the next slide, if that's
25 all right.

1 COMMISSIONER MARROCCO: Yes. Please.

2 DR. SUTCLIFFE: Thank you.

3 So we were asked if there might be any
4 recommendations that we would have based on our own
5 experiences. And so there are six here that I hope
6 will be helpful to your deliberations.

7 Again, thinking about our own
8 experiences, and from a northern context.

9 Certainly, really important, that there is
10 coordination of the direction from the Ministry of
11 Health and the Ministry of Long-Term Care. And
12 where there are inconsistencies, to make sure that
13 those are surfaced and that they're explicitly
14 addressed.

15 So, for example, challenges earlier on
16 as it related to the importance or not of
17 surveillance testing, challenges as it related to
18 access to testing. So making sure there's really
19 good alignment of their messages; or if there can't
20 be alignment for various reasons, to explain those
21 inconsistencies and make sure that those are clear
22 for the homes, for the families of people in homes,
23 and certainly also for Public Health with regards
24 to the role that we have.

25 And perhaps related to that, is making

1 sure that local Public Health is included in the
2 Ministry of Long-Term Care communication loop. We
3 really are seen as, locally, as being pivotal in
4 this outbreak, and we're expected to be the
5 authority on COVID-19. And so it's really
6 important that we are aware of what's communicated
7 to homes and to the systems. For sure that role
8 can be compromised if we're not aware of direct
9 communication that goes to long-term care homes.

10 Our third thoughts around
11 recommendations is around the importance of being
12 really clear on the roles and the related
13 authorities and accountabilities of all the
14 players.

15 So two examples that we have here, is
16 the role, for example, of Local Public Health
17 versus Ontario Health, and I referred to that
18 earlier. There has been some confusion about
19 leadership, and I would say, some duplication in
20 committees -- or inefficiencies in our committees
21 or structures.

22 So Public Health, as we mentioned, has
23 a longstanding relationship with long-term care
24 homes, we are the lead go-to, as it relates to the
25 management of outbreaks. And with the onset of

1 Ontario Health North, and committees and structures
2 that they were then putting together, I think there
3 wasn't such a great awareness of what we do in
4 Public Health and the relationships and processes
5 that we have as it relates to outbreaks. So that
6 resulted in some confusion, certainly additional
7 meetings.

8 And again, in terms of the lessons
9 learned about leveraging or building on preexisting
10 relationships versus creating new relationships
11 unless really required.

12 I'm not saying they weren't value
13 add, or are not. And absolutely with regards to
14 the PPE, and the testing, and now subsequently with
15 looking to potential for hospitals to support IPAC.
16 And in other instances, where we see hospitals
17 taking over management of long-term care, so
18 they're part of the picture, absolutely, and I
19 think need to be integrated into its existing
20 structures versus -- in our area anyway -- in
21 creating new structures.

22 The other example would be the role
23 about being clear about the authority and the roles
24 of local Public Health versus our Ministry of
25 Long-Term Care. So we had to have examples of

1 long-term care seeking direction from the Ministry
2 or from Ontario Health, just sort of workaround
3 local Public Health.

4 So an example where a long-term care
5 home -- and I can't remember the circumstances,
6 Stacey, whether this was a PPE or a direction to
7 staff, that they weren't so happy what we were
8 telling them, so they had asked the Ministry and
9 actually got different direction. Which is fine,
10 except we need to be clear on who holds the ball.
11 Like whose responsibility it is to do what.

12 COMMISSIONER MARROCCO: But that
13 registers with me, I mean, local Public Health has
14 the power. I mean, they could talk to anybody that
15 they want to, but they can't -- if there's an
16 order, they have to comply with it.

17 Was there any inhibition or any
18 restriction placed on your ability -- apart from
19 the legal test, any restrictions on your ability to
20 make orders?

21 DR. SUTCLIFFE: No. And, Justice,
22 there has not been an incident to this point where
23 we would have to consider an order.

24 The kinds of examples are more policy
25 and process. And certainly would not hit the

1 scale, you know, the register to require an order.
2 And absolutely, if an order was necessary, I would
3 take that action. This is about relationships, I
4 think. And also knowing who is responsible for
5 what, and being very clear about that.

6 But, no, as it relates to the legal
7 order, absolutely that is very clear to us and I
8 think to our partners, also.

9 COMMISSIONER MARROCCO: So what would
10 happen then in a situation where they said, "well,
11 you told us to do one thing, and I was speaking
12 with someone else in the system and they told us to
13 do something different".

14 What happens in that type of situation?

15 DR. SUTCLIFFE: So I think it would
16 depend on the nature of the situation. If it was
17 such that my Public Health assessment was that that
18 would be putting people at risk, either the staff
19 or the outbreak, etcetera, that I would put my foot
20 down.

21 If it's really an instance -- and I
22 believe if my memory serves, that this was an
23 instance about getting, I'll say preferential
24 treatment, access to supplies or something that --
25 I don't think the details are so important -- but

1 access to supplies in advance of, or in a way that
2 wouldn't have followed the protocol, it wasn't
3 something I was going to argue or fight.

4 My point is more around being really
5 clear about the relationships and the authority.
6 Because if it's not, those are simple examples, but
7 if it came down to more severe examples, then that
8 is difficult. It's difficult, not from a legal
9 perspective, but a relationship perspective and
10 ongoing working and effective relationships
11 together.

12 COMMISSIONER MARROCCO: Okay.

13 DR. SUTCLIFFE: Our fourth is about the
14 importance of enhancing capacity for compliance of
15 the long-term care staff with Public Health
16 measures. And so what we mean by this is not just
17 the compliance itself, but the capacity for compliance.

18 So how can we ensure that we build
19 within the system the greatest chance possible of
20 compliance with these measures, so thinking about
21 COVID.

22 So for sure, challenges with part-time
23 staff, with not complete benefits, with
24 remuneration, this all makes it very difficult, of
25 course, for our long-term care homes to actually

1 readily be able to comply with the Public Health
2 measures that are required of them.

3 So if you think about just working in
4 one location, cohorting of staff, if somebody is
5 ill, visitor restrictions, testing of visitors,
6 it's difficult to do, not impossible, but difficult
7 to do. Our staff are marginally employed or they
8 have precarious or limited benefits.

9 And I think with that, from a northern
10 perspective, there are additional considerations
11 about equity. So the ability for the northern
12 health long-term care homes to be able -- further
13 to be able to comply with Public Health measures.

14 So there are potentially issues related
15 to First Nations, to Francophone and rural and
16 remote settings, where, you know, issues of
17 culture, of language, the travel distances and the
18 cost associated with that, the decreased access to
19 testing, and the fact that in many of our small
20 communities, there aren't many employers. So staff
21 may well, and often do work in more than one
22 institution. So a hospital and long-term care
23 shared staff.

24 And so it's really important that we
25 give some consideration to those factors. And I'm

1 not saying that we have solutions to that, but I'm
2 saying that those need to be surfaced and talked
3 about. A long-term care home in Toronto is
4 different than from a long-term care home in
5 Chapleau. And the challenges that they will face
6 to comply with Public Health measures are very
7 different.

8 So I think that needs to be unpacked
9 further with our northern partners in long-term
10 care homes to fully understand what are the nuances
11 that will make it barriers or facilitators to
12 compliance with the Public Health measures that
13 we're requiring of them.

14 COMMISSIONER MARROCCO: Just to try to
15 understand that. We've heard from a number of
16 people, that there are too many part-time staff and
17 not enough full-time and so on.

18 But should we understand that in the
19 areas that you're concerned about, that part-time
20 staff, that people have to do it that -- so if
21 somebody issued some broad, general edict that
22 70 percent of the staff had to be full-time, that
23 that might have an implication for you that
24 wouldn't be apparent, say, if you were dealing with
25 a long-term care home in Hamilton or --

1 DR. SUTCLIFFE: Exactly.

2 COMMISSIONER MARROCCO: -- they require
3 part-time staff in these situations.

4 DR. SUTCLIFFE: Well, that's right.

5 Again, we don't have all the details or
6 all the answers. But there are nuances, there are
7 differences in circumstances that are not
8 inconsequential with regard to the long-term care
9 homes' ability to follow the directions.

10 So even thinking in our First Nations
11 communities, the role of elders in those
12 communities and not being able to visit your elder.
13 There are many factors, I think that, you know,
14 really do need to be taken into consideration with
15 regards to, as I say, the environment or the
16 supports for a home to be able to be compliant and
17 to protect their residents.

18 The other, you know, when we think
19 about travel in the north, so the distances are
20 huge, as you know. So Chapleau, for example, part
21 of our district is at least a five-hour drive away
22 from our main office. So if you think about our
23 family members being in long-term care homes, it's
24 not always right next door; it can be very far.
25 And so restricting to, say, one visitor or the

1 essential visitors has really been an enhancement
2 right now in helping to -- with the psychosocial
3 and the isolation that long-term care residents are
4 feeling, there are just specific, there are
5 circumstances that make it quite different in the
6 north and in rural and remote areas. Cultural
7 distance, language, etcetera.

8 COMMISSIONER MARROCCO: Okay.

9 DR. SUTCLIFFE: The fifth point is the
10 need, we would say, to level the playing field in
11 our long-term care homes as it relates to
12 infection, prevention and control.

13 So as Stacey has mentioned, we know our
14 long-term care homes, and we know which ones tend
15 to do better, or respond more effectively in an
16 outbreak, and we try to make sure that they're
17 resourced and have more attention from us. But we
18 know that there is variability in their capacities
19 and in their competencies.

20 And I believe you're aware of the IPAC
21 Hubs and Spokes investment that is occurring right
22 now. And I think that that is promising, in terms
23 of leveling the playing field of IPAC incompetencies
24 within our homes. And especially in the north,
25 again, the information is just emerging right now

1 about what it will mean. But we understand the
2 hubs in the north, seven health units will be those
3 health units and will, therefore, be able to build
4 on the existing relationships that long-term care
5 homes have with Public Health.

6 And so one thing to potentially
7 consider, is to establish a structure, you know,
8 whose lead function it is to ensure excellence in
9 IPAC in long-term care homes -- and potentially
10 retirement homes and other congregate settings --
11 with the consideration for Public Health to take on
12 that mandate.

13 So it seems to us that there's nobody
14 who holds this responsibility. And I'm well aware
15 of what Kingston has done with regards to trying to
16 take that on, and kudos to them for the work, the
17 proactive work that they have done. We can talk
18 more about that, but however that is a challenge
19 for local Public Health to do that.

20 I do feel that there is a need for
21 somebody to hold that, for that responsibility,
22 accountability and function to support excellence
23 in IPAC in long-term care homes that it needs to be
24 the centre of somebody's desk.

25 So for example, with these hubs and

1 spokes, with health units being that hub in the
2 northern health units, that might well be a model
3 to further explore about perhaps that ownership and
4 that leadership should be with Public Health.

5 And I just note, for example,
6 historically Boards of Health were responsible for
7 home care. It's gone through several iterations
8 and is now with Ontario Health, but there are
9 structures that have been in place historically for
10 Public Health to take on -- and if not us, then
11 somebody -- to take on responsibilities so that it
12 is the middle of somebody's desk, and it's not just
13 an afterthought or a consideration, you know, when
14 things go sideways.

15 COMMISSIONER KITTS: I was just going
16 to ask the question, how many IPAC specialists or
17 leaders do you have in your Public Health unit to
18 provide the hub and spoke service to long-term care
19 homes?

20 DR. SUTCLIFFE: So if you mean the
21 training, the CIC training and certification, or if
22 you mean ID physicians?

23 COMMISSIONER KITTS: I just mean the
24 whole package of IPAC control, prevention,
25 education, the whole package of IPAC measures.

1 DR. SUTCLIFFE: Stacey, if you could
2 comment on that from our health unit. I don't know
3 that we'd be able to comment from other northern
4 health units.

5 MS. LAFOREST: Thank you.

6 So in our health unit, we have one
7 individual that's CIC certified currently. And
8 another who has just completed the training and is
9 waiting to take the exam early in the new year. So
10 one currently is the official CIC designated
11 individual.

12 However, we do have a number of staff
13 who have received more, I would say, informal
14 training through Public Health Ontario and so
15 forth, and through their Public Health nurse and
16 Public Health inspector roles.

17 COMMISSIONER KITTS: So in your hub and
18 spoke model, would you draw upon the, I guess, the
19 infectious disease IPAC expertise in the local
20 hospitals or wherever they might be?

21 DR. SUTCLIFFE: So I think -- in fact,
22 this is all evolving. And we have a meeting this
23 afternoon to hopefully get more details about this
24 model. So talk to me tomorrow, I might have more
25 information.

1 COMMISSIONER KITTS: Okay.

2 DR. SUTCLIFFE: For sure there is
3 expertise in the hospital. Our colleagues, our
4 other colleagues across the Province have also
5 commented on the transferability, or not, of that
6 expertise to long-term care homes.

7 That is a different kind of
8 environment. It's not ID docs that are needed in
9 that setting. It really is, you know, protocols,
10 rigorous practice, making sure there are good
11 metrics in place and accountabilities.

12 I don't want to over or understate the
13 expertise that's needed. But I would say that it's
14 different from a hospital setting.

15 COMMISSIONER MARROCCO: Sometimes you
16 need somebody, though, to enforce the best
17 practices, just to find a shorthand to describe it.

18 And it's hard, in my experience anyway,
19 it's hard to do that if you're employed by the
20 organization; it can be hard to do that. It's
21 sometimes easier if you're outside the organization
22 that you're putting the hammer down on.

23 DR. SUTCLIFFE: Completely agree. And
24 we certainly have a progressive enforcement
25 approach. So it's not the hammer right away in our

1 work, but absolutely trying to build capacity
2 within the institutions. They're the ones who are
3 ultimately responsible for the management of their
4 outbreaks, they need to have the tools to do that.

5 COMMISSIONER MARROCCO: Okay.

6 DR. SUTCLIFFE: Our final point, for
7 the final slide is, you know, if we can say to the
8 Commission, I mean, we think it's really important
9 to really explicitly articulate the values that
10 underpin and guide the investments in long-term
11 care homes and the policy decisions.

12 So just an explicit, upfront
13 articulation of the value about how imperative it
14 is to protect the most vulnerable. And the
15 imperative of ensuring that we have to make sure
16 that the physical gains are really carefully
17 weighed against the risks of the social and
18 emotional health costs. That these things for our
19 loved ones who are in long-term care homes, you
20 know, they're counting on us. And we need to make
21 sure that we do that for them, and do it in a way
22 that is respectful of their wishes.

23 And if I could just go to my very last
24 slide to share with you a reflection from one of
25 our colleagues across the north. When I asked

1 about what input they might have, you know, he
2 said, really, what have we learned? We learned
3 that a marginalized group with many comorbidities
4 who are cared for by another somewhat marginalized
5 group, will not be at the forefront of preparedness
6 and/or concern in a pandemic.

7 Populations were not well looked after
8 in normal time, will fare badly when things go
9 sideways. They will be second string in terms of
10 priority, allocation of resources, etcetera. And
11 that properly prepared long-term care homes likely
12 would have saved more lives and suffering in
13 Ontario than the hospital system did.

14 We need to put our focus very squarely,
15 as the Commission is doing, on those needs. And
16 certainly that upstream, the preparedness,
17 training, equipment, relevant protocols matter.
18 It's not a nice to do, it is a must do. And,
19 really, that's all that we have when we're faced
20 with these threats.

21 The picture on the side is a diagram we
22 often use in explaining the role of Public Health,
23 and thinking upstream and not the downstream; it's
24 very important. You know, when people fall in the
25 river, they need good EMS, and they need hospitals

1 to resuscitate and care for them. But somebody has
2 to be looking upstream in terms of preventing those
3 folks from falling in the river, preventing those
4 infections in long-term care homes.

5 Once we have outbreaks there, I won't
6 say it's too late, but we really need to prevent
7 them from occurring in the first place through the
8 resources and attention policy, and explicit values
9 orientation of this investment and this work.

10 Thank you.

11 COMMISSIONER MARROCCO: Thank you. I
12 don't think we have any further questions.

13 Well, Doctor, thank you very much for
14 the presentation. It's helpful, because our
15 perspective can very easily become too urban, too
16 Southern Ontario focused, and this is a very
17 helpful -- Ontario is a complex place, and this was
18 very helpful and reminding us of that. And it also
19 reinforces some of the things we've observed about
20 how to be prepared, and the advantages of being
21 prepared, and having established relationships.

22 So thank you very much for the time
23 and effort. We'll try in our work to do justice to
24 the recommendations that you've given us to think
25 about.

1 So thank you.

2 DR. SUTCLIFFE: Thank you for the
3 opportunity. We really appreciate it.

4 COMMISSIONER KITTS: Thank you.

5 COMMISSIONER COKE: Thank you.

6

7 -- Hearing adjourned at 9:57 a.m.

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REPORTER'S CERTIFICATE

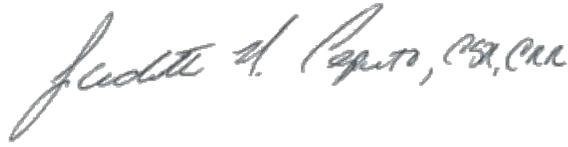
I, JUDITH M. CAPUTO, RPR, CSR, CRR,
Certified Shorthand Reporter, certify;

That the foregoing proceedings were
taken before me at the time and place therein set
forth;

That all remarks made at the time
were recorded stenographically by me and were
thereafter transcribed;

That the foregoing is a true and
correct transcript of my shorthand notes so taken.

Dated this 20th day of October, 2020.



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PER: JUDITH M. CAPUTO, RPR, CSR, CRR

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